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Lum. 4.1-76  
February 28, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hashem Akhavan-Tafti, Richard S. Handley, Mark D. Sandison and Randall K. Larkin

Serial No.: 09/965046

Group Art Unit: 1713

Filed: September 27, 2001

For: USES OF IMPROVED POLYMER-SUPPORTED PHOTSENSITIZERS  
IN THE GENERATION OF SINGLET OXYGEN

Examiner: T.B.A.

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

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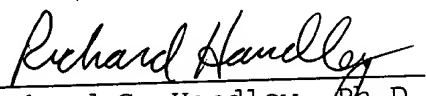
GROUP 1700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER  
37 CFR 1.56, 1.97(b) and 1.98**

Provided herewith are a Supplemental Information Disclosure Statement and copies of six U.S. Patents as listed in the attached form PTO-1449. The references being submitted were cited in the International Search Report in PCT application US02/21859, which claimed the benefit of priority to the present application. The Information Statement is being submitted prior to the issuance of a first Office Action. Accordingly no fee is due.

It is noted that two of the references, U.S. 5,756,726 and U.S. 6,107,480 were listed as category X references against all of the claims. Applicants dispute this view and find nothing in either of these patents which affect the novelty of the present claims.

Respectfully submitted,

  
Richard S. Handley, Ph.D.  
Registration No. 38,484



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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/965046
				Filing Date	Sept. 27, 2001
				First Named Inventor	Hashem Akhavan-Tafti
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	Lum. 4.1-76

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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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